Regional modelling with a simple land surface model: the strengths, weaknesses and a novel implementation of the Variable Infiltration Capacity (VIC) model

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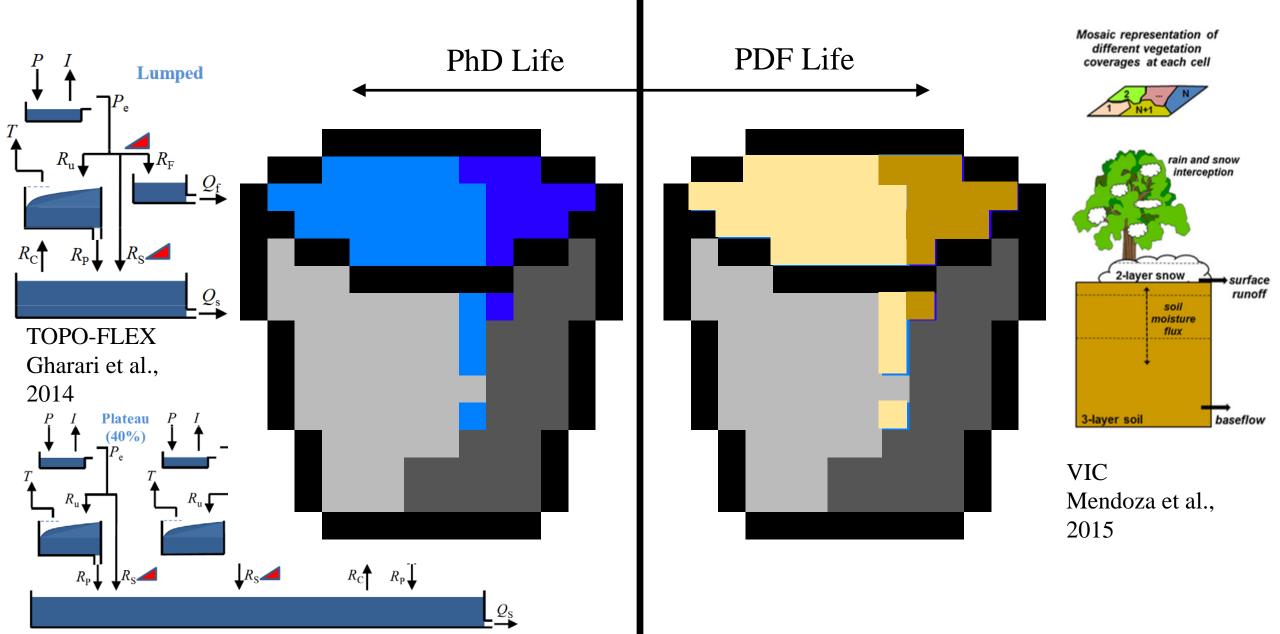


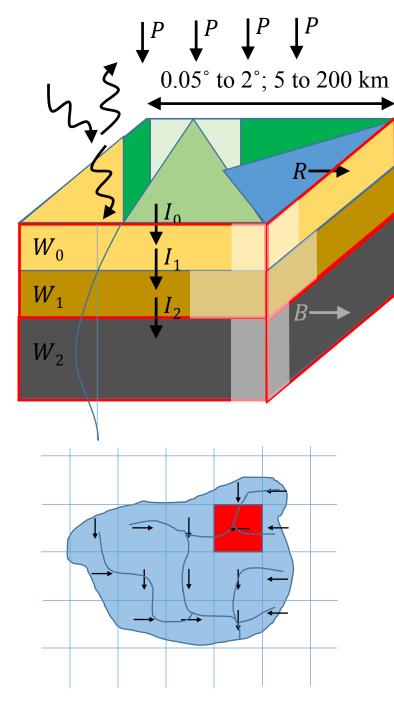






A brief background about myself





VIC in a glimpse

VIC stands for Variable Infiltration Capacity; developed as a simple land surface model (Liang et al., 1994).

VIC is a meso- to large- scale model at grid scale.

The soil characteristics are considered to be homogeneous for every soil layer in a gird and can vary from one grid to another.

VIC accounts for various land covers and lakes within a grid.

Infiltration capacity varies based on a distribution of saturated areas define by b as infiltration shape parameter.

 $I_0 = f(W_0 + W_1); R = P - I_0;$

Base flow is calculated based on storage of the lowest soil layer as a nonlinear reservoir.

 $\mathbf{B} = f(W_2)$

Water flow between the layers are calculated by an approximation of Richards equation.

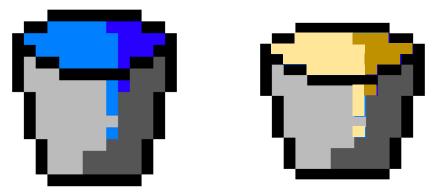
VIC is able to calculate energy fluxes for soil profile and lake (1-D lake model).

VIC is able to account for frozen soil and its impact on infiltration and transpiration.

The routing model is consist of a unit hydrograph at a grid scale and linearized Saint-Venant equation based on velocity and diffusivity.

What made VIC, VIC?

- It is simple (easy to understand for bucket eeers like me!).
- It uses combinations of conceptual and physical representations (not too physical, not too conceptual).
- It has a powerful infiltration formulation (HBV-type). It fits everything!!
- Earlier versions (before V.5) uses daily time series as input.

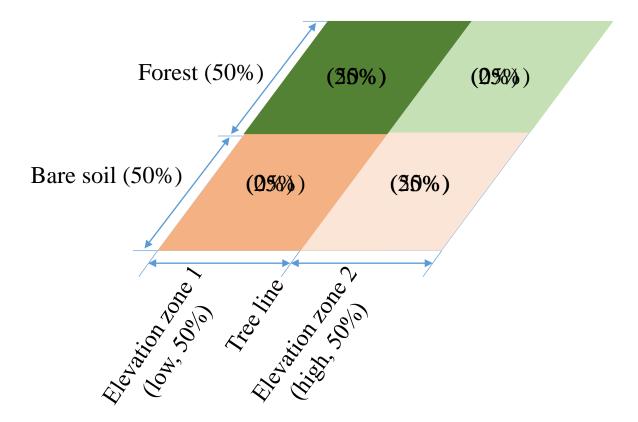


GWF VIC-related activities – (1) Assessment/improvement

We have reviewed +50 articles related to VIC

- True model validation/evaluation is rare.
- Not very good performance in accordance with *in situ* observation
- Routing which includes reservoir operation is usually not reported (except one paper; which is not mentioned how it was implemented).
- Regionalization of the conceptual parameters is not well explored (only one recent paper to our knowledge).
- Model parameters uncertainty and sensitivity is not well explored (only one paper to our knowledge).
- Assumptions on within grid cell variabilities might be unrealistic and computationally inefficient.

GWF VIC-related activities -(2) Model set up

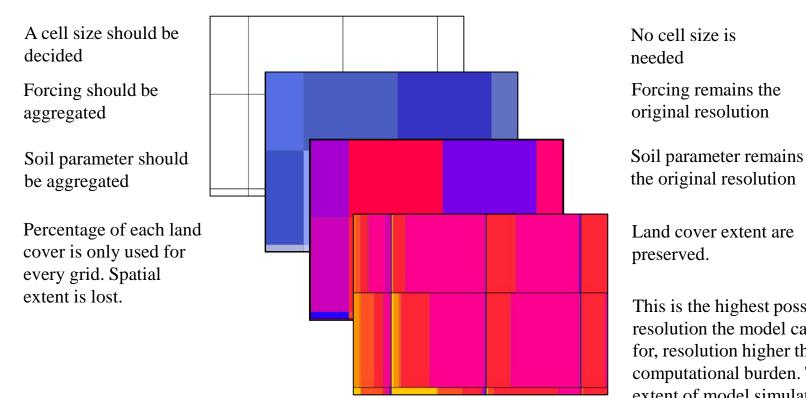


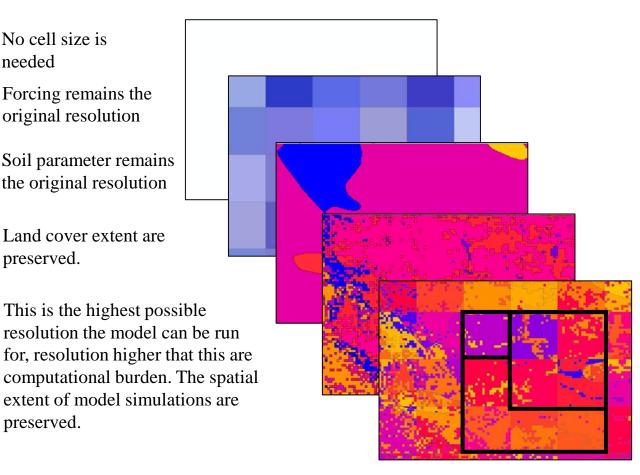
GWF VIC-related activities -(2) Model set up (VIC-GRU)

preserved.

Traditional VIC implementation







GWF VIC-related activities – (2) Model set up (VIC-GRU)

Advantages of VIC-GRU:

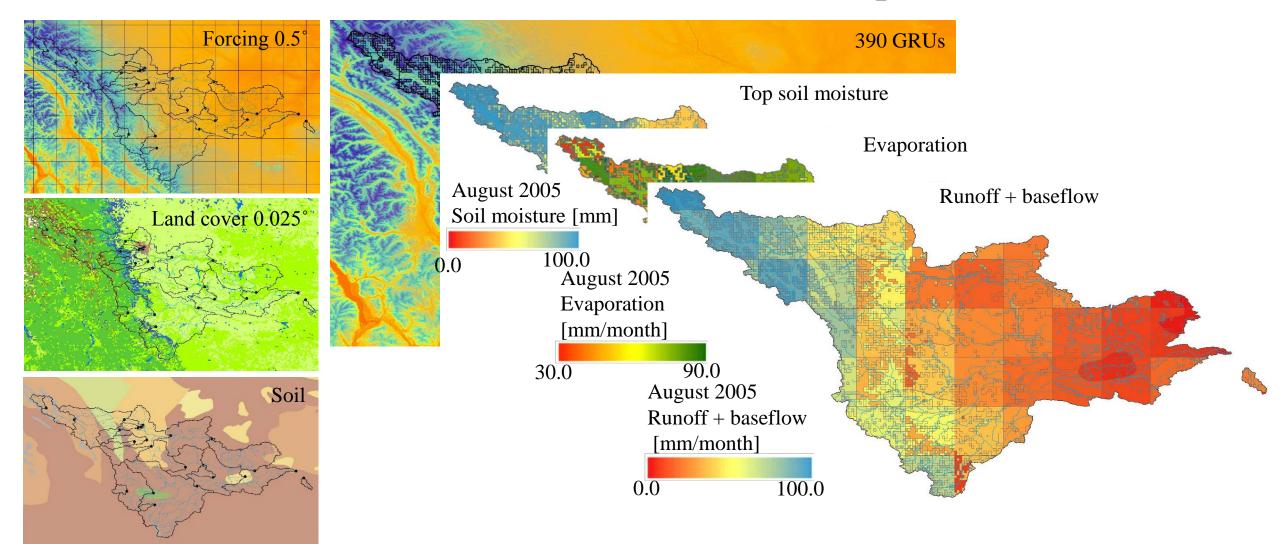
- It is the most computationally efficient model set up, any set up with more number of elements is an unnecessary computational burden.
- The effects of land cover, soil type, elevation zones can be controlled separately and relative constraints can be imposed based on them.
- It simplifies the VIC input files.
- It can help to account for various configurations, for example, forcing can be amended based on the aspect of every GRUs.

GWF VIC-related activities – (2) Model set up (VIC-GRU)

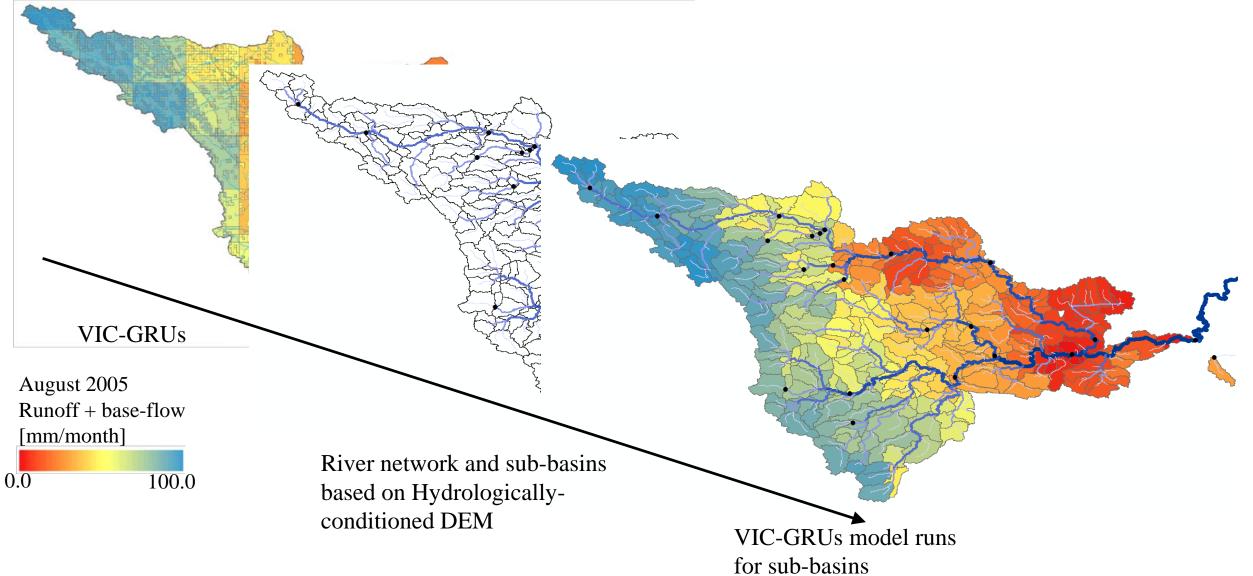
Advantages of VIC-GRU:

- It makes the model comparison easier with GRU-oriented models such as MESH or SUMMA.
- The change of the land cover over time can be directly applied to the proportions of every GRUs.

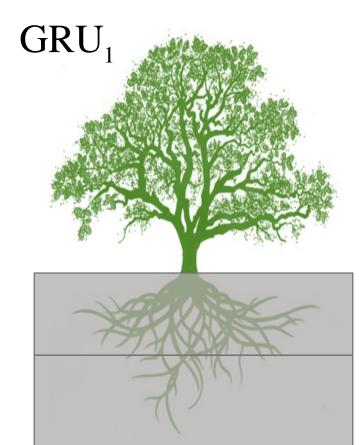
GWF VIC-related activities -(2) Model set up (VIC-GRU)



GWF VIC-related activities – (2) Model set up (VIC-GRU)



GWF VIC-related activities – (3) Optimization, Sensitivity and Uncertainty Analysis



Parameter constraints:

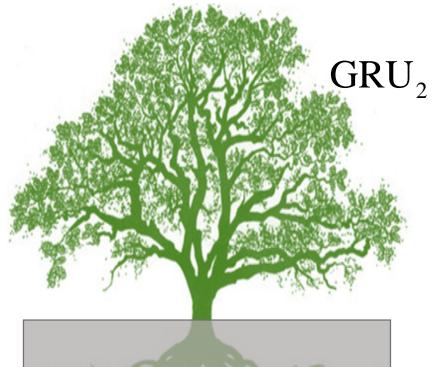
 $root_{GRU_1, layer_1} [\%] > root_{GRU_2, layer_1} [\%]$ $depth_{GRU_2, layer_2} [m] > depth_{GRU_1, layer_2} [m]$ $depth_{GRU_1, layer_1} [m] = depth_{GRU_2, layer_1} [m]$

Process constraints:

 $ET_{GRU_{2}}[mm/yr] > ET_{GRU_{1}}[mm/yr]$ $R_{GRU_{1}}[mm/yr] > R_{GRU_{2}}[mm/yr]$









GWF VIC-related activities – (3) Optimization, Sensitivity and Uncertainty Analysis

Soil parameters										
Conceptual soil parameters	Infilt	Variable infiltration curve parameter (b _{infilt})								
	Dsmax	Fraction of Dsmax where non-linear base flow begins								
	Ds	Maximum velocity of base flow								
	Ws	Fraction of maximum soil moisture where non-linear base flow occurs								
	с	Exponent used in baseflow curve, normally set to 2								
	depth	Thickness of each soil moisture layer								
Physical	Expt									
	Ksat									
	Phi_s									
	bubble	Bubbling pressure								
	quartz	Fraction of quartz								
soil	Bulk_density									
parameters	Soil_density									
	Wcr_FRACT	Fraction of soil moisture content at critical point								
	Wpwp_FRACT	Fraction of soil moisture at wilting point								
	Resid moist									





GWF VIC-related activities – (4) Scenarios of Change

Scenarios of change should be prepared for to the VIC model.

The VIC model doesn't have any formulation or parameterization which can guarantee the long term trend outside of yearly cycles.

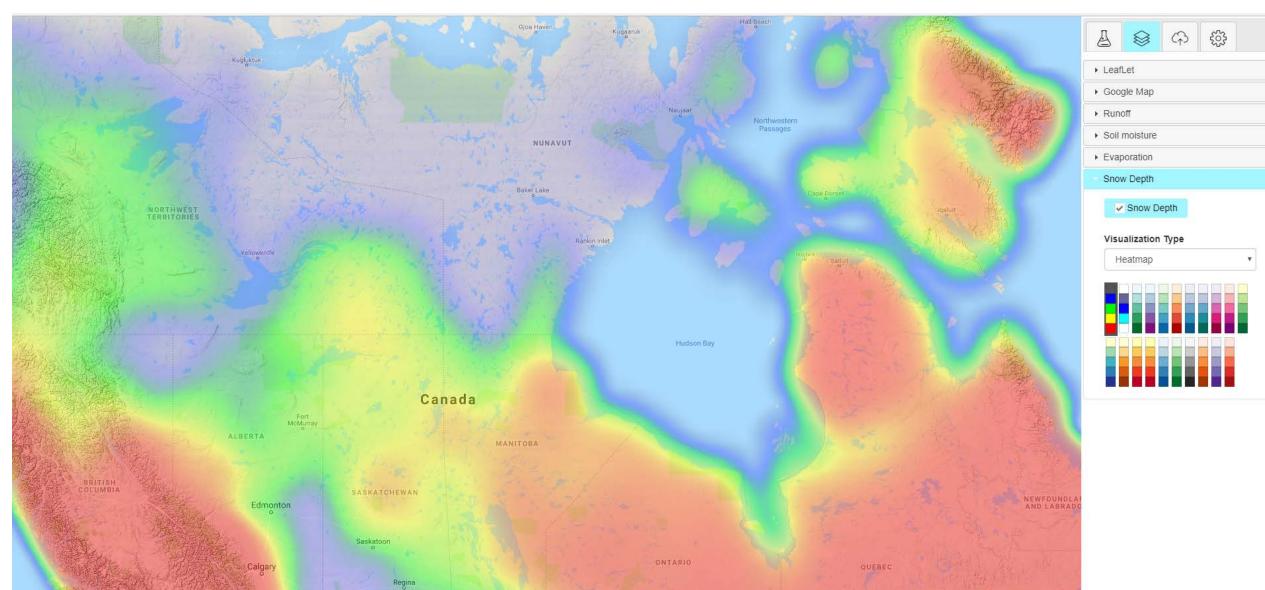
The VIC model is the consumer of future scenarios, not the producer...

GWF VIC-related activities -(5) Communication

Name	Temporal resolution	^	Sp	patial resolution	Spatia exten	Variables I	Format	Loc	cal access	Web Access	Additional information	tion and notes
GEM (RDPS, HRDPS)	l-hour	2001/10-present	0.22 deg (~24 km): 2001/10-2004/05/17 0.1375 deg (~15 km): 2004/05/18- 2012/10/02 10 km (likely 0.09 deg): 2012/10/03- present 0.0225 degree (~2.5 km): 2012/10/03- present		North Ame 2016/09/08-p Canada, Me conterminou 2010/11-pro Canada, conti US: 2001/10-	Precipitation, temperature, pressure, specific humidity, wind speed, downward SWR, downward LWR	fst (with rmnlib), ASCII, GRIB 2 (convertable to NetCDF)	?? (most probably GLOBAL WATER)		ECCC (Daniel Princz	Wind, temperature and specific humidity are reported at the height of 40 m; wind at 10 m and temperature, specific humidity at 2 m available from 2010/01-present. Data from 00,12h forecasts available from 2001/10; addition of 06,18h forecasts from 2010/01 More info here: http://collaboration.cmc.ec.gc.ca/cmc/cmoi/product_guide/table_of_ contents_e.html	
CaPA (RDPA)	6-hour Daily	2002-present	10 km		Canada, Me conterminou 2002/01-pre	IS US: Precipitation	fst (with rmnlib), ASCII, GRIB 2 (convertable to NetCDF)		probably GLOBAL WATER)	ECCC (Daniel Princz	Blends observed data where available into GEM precipitation field; includes radar as of 2014/11/18.	
	_					Rainfall-CRU, Snowfall- CRU, Rainfall-GPCC,		?? (most	probably GLOBAL			
WFDEI	3-hour Daily	Name		Spatial Resolutio		Spatial extent	Format	Acc		cess	Date of Issue	Additional information and
WFD	3-hour		of Scale									notes
	Dily	Soil landscape o Canada, V2.2	of 1:1,000,000			Canada	shapefile data\Canad		jade:\Kul data\Canada Da	SLC 2.2 Soil	1996	there are some discripancies in
Princeton V.1 and V.2	3-hour Daily Monthly	Soil landscape of Canada, V3.2		1:1,000,000		Agricultural regions of Canada	shapefile	jade:\Kuljo		jeetK∖Soil SLC 3.2 Soil	March 2011	data there are a lot of gaps in data and infomration is not available.
NARR	3-hour	Unified North American Soil Data UNASM soil data)		250 m		Canada, USA	Raster	jade:\Kulje Data\UNASM		jeetK\Soil	May 2013	
ANUSPLIN	Daily Monthly	STATSGO2 USA		1:250,000 in US, HI, PR, VI and 1:1,000,000 in AK		USA	USA	USA Data\USA		ieetK\Soil STATSGO2 Data	30 December 2015	
CANGRD	Monthly seasonal	Harmonized Wo	rkd	0.00833 degree	2	Global	Global		http://webarchiv earch/LUC/Ex so		7 March 2012	this is based on FAO data in raster format. The raster refere to an ID

GWF VIC-related activities -(5) Communication

http://gwf-demo.usask.ca/



Questions and discussions

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Flood Inundation Mapping: HAND Method

National Water Model 1. Forecast discharge with Courtesy: D. Gochis **IC-GRU** https://www.cuahsi.org/uploads/cyberseminars/David_Gochis_Presentation.pdf National Water Model 2. Convert discharge to depth using rating curve 3. Convert depth to inundation using HAND Legend Streamflow (cfs) Rating Curve for Eanes Creek, ComID = 5781289 (relative elevation of land 12 surface cell above cell in 10 NHDPlus stream to which it flows) ŧ Flood Depth, y (ft $Q = \frac{1.49}{n} A R^{2/3} S_o^{1/2}$ Sta Tuscaloos Forecast Discharge, Q, from National Water Model 0 5000 10000 15000 20000 25000 soil Discharge (cfs) Source: Yan Liu and Hu Hao, University of Illinois **David R. Maidment** erarchy of scales.

at Urbana-Champaign

i) lump ii) gric iii) polygon aduife

b) HRUs

polygon), (b) HRUs (single unit, grid, polygon), and (c) the connection vertical soil column corresponds to a single HRU, and there can be HRU example (Figure 2b-iii) the riparian HRU was delineated using 1011; Nobre et al., 2011] and the remaining hillslope areas were hen identifying hydrologically similar areas (considering radiation urations of GRUs and HRUs are possible, which may be optionally

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